

SOUND POINT ONLINE PRIVACY POLICY

Effective Date: August 19, 2024

This Online Privacy Policy (“Privacy Policy”) describes how Sound Point Capital Management, LP (“Sound Point Capital”) and our affiliated entities (collectively, “Sound Point”, “we”, “us”, or “our”) may collect, use, and disclose personal information that we collect through our websites, soundpointcap.com, cre.soundpointcap.com, and soundpointmeridiancap.com (collectively, the “Sites”). By visiting or submitting information on the Sites, you acknowledge that you accept the practices and policies outlined in this Privacy Policy. If you do not agree to this Privacy Policy, please do not use the Sites.

U.S. investors and other financial services clients, customers, and potential clients should review **Sound Point’s Financial Privacy Notice**, which governs how we collect, use and share your nonpublic personal information while delivering financial products or services to you.

Individuals located in outside the United States, including residents of the United Kingdom and Europe should review **Sound Point’s Global Privacy Notice**, which governs how we process personal data about individuals located outside the United States.

Individuals located in the Cayman Islands should review **Sound Point’s Cayman Islands Privacy Notice**, provided in accordance with the Cayman Islands Data Protection Law.

Residents of California should review **Sound Point’s California Privacy Notice**, provided in accordance with the California Consumer Privacy Act.

PERSONAL INFORMATION WE COLLECT

We may collect the following types of personal information directly from you, automatically when you visit our Sites, or from our service providers or third parties, depending on how you interact with us:

- Contact information, including your name, e-mail address, phone number, fax number, and postal address;
- Account information, including your login and password;
- Communications with us, including information you provide to us in e-mail or through online forms; and
- Internet or other electronic network activity information, including IP address, online identifier, browsing history, search history, information on a consumer's interaction with our Sites, application logs, device data and registration, social media account information or advertisement, your usage preferences, referring links from websites or advertisements that refer you to our Sites, and information collected from Cookies and other tracking technologies.

We may combine certain personal information we collect about you with other personal information we obtain about you, which may include data we obtain from other sources.

HOW WE USE PERSONAL INFORMATION

We may use your personal information to:

- Provide services to you, including fulfilling requests related to your personal information.
- Manage online account records and access.
- Facilitate communications with you, including notifying you of features or special events, providing information about our products and services and our business partners services, inviting you to participate in our events or surveys, and providing you with other information we feel may be of interest to you.
- Provide access to, customize, analyze, adjust, secure and improve the Sites.
- Prevent, investigate, identify, or otherwise take action regarding suspected or actual fraudulent or illegal activity, or any activity that violates or may violate applicable laws, regulations, or legal process and our policies.
- Comply with applicable laws, regulations, or legal processes, as well as industry standards and our company policies.
- Evaluate or conduct a merger, divestiture, restructuring, reorganization, dissolution, or other sale or transfer of some or all of our assets in which personal information held by us is among the assets transferred.

HOW WE DISCLOSE PERSONAL INFORMATION

We may disclose personal information we obtain through the Sites to:

- Our affiliates, including our subsidiaries and strategic business partners, who may use your information for purposes similar to the purposes for which we collect such information.
- Service providers and contractors that we retain to perform services on our behalf, including financial service providers, such as administrators, placement agents and prime brokers, who assist Sound Point as part of the ordinary course of servicing investment; marketing companies; customer support specialists; web hosting companies; fulfillment companies, such as companies that coordinate mailings; data analysis firms; and e-mail service providers.
- Professional advisers, including counsel, accountants and auditors, and persons acting in a fiduciary or representative capacity, such as an IRA custodian or trustee.
- Third parties if we believe disclosure is reasonably necessary to comply with any applicable law, regulation, legal process or governmental request and enforce our agreements and policies.
- Service providers, contractors, and third parties to protect the security or integrity of the Sites or our services and products; to protect ourselves, our customers, or the public from harm or illegal activities; or to respond to an emergency which we believe in good faith requires us to disclose data to assist in preventing a death or serious bodily injury.
- Third parties in connection with a sale, merger, liquidation, dissolution, or sale or transfer of any portion of the assets of Sound Point, including negotiations of such transaction.

COOKIES AND TRACKING TECHNOLOGIES

We, and vendors acting on our behalf, may use cookies and other types of tracking technologies (collectively “Cookies”) on our Sites to collect and analyze information about interactions with the Sites. Cookies may serve several purposes, including storing information to help the Sites function properly, recognize your device when you visit our Sites again, and help us understand your use of our Sites over time. We, and our vendors, may link the information collected by Cookies to other information collected about you.

We may use Cookies to:

- Help you access and navigate the Sites more efficiently and to statistically monitor how many people are using the Sites.
- Recognize your login information and preferences, so that you do not need to enter the information each time you visit our Sites.
- Analyze your use of the Sites, including by collecting information about which pages on our Sites you viewed or links you clicked and how you interacted with our content during your visit or over multiple visits.
- Customize your browsing experience on our Sites by showing you information more likely to be relevant to you.
- Deliver advertisements, customize advertisements, and track advertising campaign efficiency.

We, or our vendors, may collect personal information about your online activities over time and across different devices and online websites when you visit the Sites.

Your web browser may have settings that allow you to transmit a “Do Not Track” signal when you visit various websites or use online services. Like many websites, our Sites are not designed to respond to “Do Not Track” signals received from browsers. To learn more about “Do Not Track” signals, visit allaboutdnt.com. You may set your browser to notify you of or decline the receipt of Cookies; however, certain features of the Sites may not function properly or be available if your browser is configured to disable Cookies. We are not responsible for opt-out processes provided by external parties.

You may be able to opt out of certain interest-based advertising using your browser settings. To find out more about how these online analytics services manage the privacy of information in conjunction with delivering ads online, and how to opt out of information collection by these networks, please visit: youradchoices.com/appchoices, optout.aboutads.info, or thenai.org. Opting out through these services does not mean you will no longer receive advertising.

CONTROL OF YOUR PERSONAL INFORMATION

You can review and request that we change your personal information by contacting us at marketing@soundpointcap.com. You may request that we change your username, password, e-mail address, contact information, financial information, other personal information and user

preferences. You must promptly contact us to update your personal information if it changes or is inaccurate.

You may request that we deactivate your password and remove your contact information and financial information from our active databases. Your password may be deactivated based on your account activity and in accordance with our deactivation policy.

We may use your personal information to contact you with newsletters, marketing or promotional materials, and other information that may be of interest to you. You may opt out of receiving any, or all, of these communications from us by following the unsubscribe link or instructions provided in any e-mail we send or by contacting us. We may use e-mail marketing service providers to manage and send e-mails to you.

EXTERNAL WEBSITES

The Sites contain links to third-party websites. Please be aware that we cannot control and are not responsible for the information collection practices of such websites, which may differ from those of Sound Point. We encourage you to review and understand the privacy policies of these websites before providing any information to them.

SITE VISITORS FROM OUTSIDE THE UNITED STATES

The Sites are hosted in the United States. If you are visiting the Sites from outside the United States, please be aware that your information will be transferred to, stored, and processed in jurisdictions other than your country of residence, including the United States. The data protection laws of these jurisdictions, including the United States, might not be the same as those in your country.

SECURITY

We use reasonable procedural, technical, and physical safeguards to protect your personal information against unauthorized or illegal access, destruction, use, modification, disclosure, loss or theft.

We want you to feel confident using the Sites. However, no system can be completely secure. Therefore, although we take steps to secure your information, we do not promise, and you should not expect, that your personal information, searches, or other communications will always remain secure.

CHILDREN

The Sites are not intended for, nor targeted to, children under 18, and we do not knowingly collect any personal information from children under 18. If we become aware that an individual submitting information is under 18, we will delete the information in accordance with applicable law.

CHANGES TO THIS POLICY

This Privacy Policy may change from time to time. Amendments will be indicated by the Effective

Date at the beginning of this Privacy Policy.

CONTACT US

If you have questions about this Privacy Policy, you can contact us at:

Sound Point Capital Management, LP
375 Park Avenue, 34th Floor
New York, NY 10152

Email: marketing@soundpointcap.com

Telephone: 1-833-217-6665